

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
In re :

LEHMAN BROTHERS HOLDINGS INC., *et al.*, :

Debtors. :

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

-----X  
Ref. Docket Nos. 7849, 7858, 7869,  
7871

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

CHRISTOPHER SIMCO, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On March 30, 2010, I caused to be served personalized "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)" (the "Personalized Transfer"), samples of which are annexed hereto as Exhibit "A", by causing true and correct copies of the Personalized Transfers, enclosed securely in separate postage pre-paid envelopes, to be delivered by first class mail to the parties listed on the annexed Exhibit "B". I also caused to be served copies of the personalized Transfer, enclosed securely in a separate postage pre-paid envelope, to be delivered by first class mail to the party listed on the annexed Exhibit "C".
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

Sworn to before me this  
31<sup>st</sup> day of March, 2010

/s/ Elli Petris

\_\_\_\_\_  
Notary Public, State of New York  
No. 01PE6175879  
Qualified in Nassau County  
Commission Expires October 22, 2011

/s/ Christopher Simco  
\_\_\_\_\_  
Christopher Simco

## **EXHIBIT “A”**

In re	Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., et al.,	08-13555 (JMP)
Debtors.	(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: JPMORGAN CHASE BANK, N.A.  
TRANSFEROR: SECONDMARKET, INC.  
4 NEW YORK PLAZA, 16TH FLOOR  
ATTN: MICHAEL ECONOMOS  
New York NY 10004

Please note that your claim # 50055-02 in the above referenced case and in the amount of  
\$1,696,346.44 has been transferred (**unless previously expunged by court order**)

CASPIAN CAPITAL PARTNERS, L.P.  
TRANSFEROR: JPMORGAN CHASE BANK, N.A.  
ATTN: CHARLES R. HOWE II, PRESIDENT  
500 MAMARONECK AVENUE  
HARRISON NY 10528

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 7858 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 03/30/2010

Vito Genna, Clerk of Court

/s/ Christopher Simco

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on March 30, 2010.

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: JPMORGAN CHASE BANK, N.A.  
TRANSFEROR: SECONDMARKET, INC.  
4 NEW YORK PLAZA, 16TH FLOOR  
ATTN: MICHAEL ECONOMOS  
New York NY 10004

Please note that your claim # 50055-03 in the above referenced case and in the amount of  
\$3,225,303.70 has been transferred (unless previously expunged by court order)

CASPIAN SELECT CREDIT MASTER FUND, LTD.  
TRANSFEROR: JPMORGAN CHASE BANK, N.A.  
ATTN: CHARLES R. HOWE II, PRESIDENT  
500 MAMARONECK AVENUE  
HARRISON NY 10528

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Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 7858 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 03/30/2010

Vito Genna, Clerk of Court

/s/ Christopher Simco

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

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In re	Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., et al.,	08-13555 (JMP)
Debtors.	(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)

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To: JPMORGAN CHASE BANK, N.A.  
TRANSFEROR: SECONDMARKET, INC.  
4 NEW YORK PLAZA, 16TH FLOOR  
ATTN: MICHAEL ECONOMOS  
New York NY 10004

Please note that your claim # 50055-04 in the above referenced case and in the amount of  
\$226,587.79 has been transferred (**unless previously expunged by court order**)

CASPIAN ALPHA LONG CREDIT FUND, L.P.  
TRANSFEROR: JPMORGAN CHASE BANK, N.A.  
ATTN: CHARLES R. HOWE II, PRESIDENT  
500 MAMARONECK AVENUE  
HARRISON NY 10528

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 7858 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 03/30/2010

Vito Genna, Clerk of Court

/s/ Christopher Simco

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on March 30, 2010.

In re	Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., et al.,	08-13555 (JMP)
Debtors.	(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: COMMERZBANK AG  
INTENSIVE CARE CORPORATES INTERNATIONAL  
ATTN: JOACHIM BALLERSTAEDT  
KAISERSTRASSE 16  
FRANKFURT AM MAIN 60261  
GERMANY

Please note that your claim # 56081 in the above referenced case and in the amount of  
\$48,391,382.86 has been transferred (**unless previously expunged by court order**)

KNIGHT CAPITAL EUROPE LIMITED  
TRANSFEROR: COMMERZBANK AG  
ATTN: SAM ROTHWELL  
55 BASINGHALL STREET  
LONDON EC2V 5EH  
UNITED KINGDOM

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 7869 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 03/30/2010

Vito Genna, Clerk of Court

/s/ Christopher Simco

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on March 30, 2010.

## **EXHIBIT “B”**

TIME: 14:20:05  
DATE: 03/30/10

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
AKTIA BANK PLC	FOR THE BENEFIT OF CLIENTS MANNERHEIMTIE 14 HELSINKI FIN-00100 FINLAND
CASPIAN ALPHA LONG CREDIT FUND, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. ATTN: CHARLES R. HOWE II, PRESIDENT 500 MAMARONECK AVENUE HARRISON NY 10528
CASPIAN CAPITAL PARTNERS, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. ATTN: CHARLES R. HOWE II, PRESIDENT 500 MAMARONECK AVENUE HARRISON NY 10528
CASPIAN SELECT CREDIT MASTER FUND, LTD.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. ATTN: CHARLES R. HOWE II, PRESIDENT 500 MAMARONECK AVENUE HARRISON NY 10528
COMMERZBANK AG	INTENSIVE CARE CORPORATES INTERNATIONAL ATTN: JOACHIM BALLERSTADT KAISERSTRASSE 16 FRANKFURT AM MAIN 60261 GERMANY
ENTE NAZIONALE DI PREVIDENZA ED ASSISTENZA	ENTE NAZIONALE DI PREVIDENZA ED ASSISTENZA 00161 ITALY
ENTE NAZIONALE DI PREVIDENZA ED ASSISTENZA	TRANSFEROR: SECONDMARKET, INC. 4 NEW YORK PLAZA, 16TH FLOOR ATTN: MICHAEL ECONOMOS New York NY 10004
JPMORGAN CHASE BANK, N.A.	TRANSFEROR: COMMERCIAL BANK AG ATTN: SAM ROTHWELL 55 BASINGHALL STREET LONDON EC2V 5EH UNITED KINGDOM
KNIGHT CAPITAL EUROPE LIMITED	SIDLEY AUSTIN LLP ATTN: JAMIE SENIOR 787 SEVENTH AVENUE NEW YORK NY 10019
MACQUARIE BANK LIMITED	TRANSFEROR: ENTE NAZIONALE DI PREVIDENZA ED ASSISTENZA PER GLI PSICOLO C/O MACQUARIE CAPITAL (USA) INC. 125 WEST 55TH STREET NEW YORK NY 10019
MORGAN STANLEY & CO. INTERNATIONAL PLC	C/O RICHARDS KIBBE & ORBE L.L.P. ATTN: MANAGING CLERK ONE WORLD FINANCIAL CENTER NEW YORK NY 10281-1003
MORGAN STANLEY & CO. INTERNATIONAL PLC	TRANSFEROR: AKTIA BANK PLC 25, CABOT SQUARE CANARY WHARF LONDON E14 4QA ENGLAND

Total Number of Records Printed

13

08-13555-mg

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Main Document



## **EXHIBIT “C”**

Weil, Gotshal & Manges LLP  
Attn: Christopher A. Stauble  
767 Fifth Avenue  
New York, NY 10153